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10/10/02  
AS

PATENT  
ATTORNEY DOCKET  
NO. 71029

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Nothnagel et al. )

Serial No.: 09/828,718 )

Filed: April 5, 2001 )

Title: STABLE AQUEOUS POLYMER  
DISPERSIONS AND A PROCESS  
FOR THEIR PREPARATION )

Group Art Unit: 1714 )

Examiner: Yoon )

CERTIFICATE OF MAILING

I hereby certify that this  
paper is being deposited with  
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Commissioner of Patents and  
Trademarks, Washington, D.C.  
20231, on this date.

10/23/02 *James P. Krueger*  
Date James P. Krueger  
Registration No. 35,234  
Attorney for Applicants

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TC 1700

AMENDMENT A

Honorable Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

Dear Sir:

In response to the Office Action dated April 25, 2002,  
please amend the application as follows.

IN THE CLAIMS:

Please cancel claims 5, 10-22 and 27.

Please amend the following claims.

Sub. 7  
B1  
A1

1. (Once amended) A polymeric vehicle comprising an  
aqueous dispersion of a neutralized polymer in water, a co-  
solvent, and a cross-linking agent,  
which dispersion is substantially free of emulsifiers,  
wherein the cross-linking agent is selected from the  
group consisting of dimerized or trimerized polyisocyanate,  
triisocyanates, tetraisocyanates and mixtures thereof,



PATENT

Attorney Docket No. 71029

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Appln No.: 09/828,718

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For: Stable Aqueous Polymer  
Dispersions and a Process for  
Their Preparation

Group Art  
Unit: 1714

Examiner: Yoon

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10/23/02  
Date

James P. Krueger  
Registration No. 35,234  
Attorney for Applicant(s)

Commissioner of Patents and Trademarks  
ATTENTION: Assistant Commissioner for Patents  
Washington, D.C. 20231

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Sir:

Transmitted herewith is an amendment/reply in the above-identified application.

- ☒ Included with this amendment/reply are clean paragraphs/claims and marked-up paragraphs/claims according to 37 C.F.R. §1.121.
- ☐ A paper requesting correction/substitution of drawings is attached.
- ☒ No additional fee is required.

Fee Calculation For Claims As Amended

|  | As Amended | Previously Paid For | Present Extra        | Rate       | Additional Fee |
|--|------------|---------------------|----------------------|------------|----------------|
| Independent Claims   | 5          | 7                   | ** = 0               | x \$ 84.00 | = \$ 0.00      |
| Total Claims   | 25         | 27                  | * = 0                | x \$ 18.00 | = \$ 0.00      |
| Fee for Multiply Dependent Claims  |            |                     |                      | \$ 280.00  |                |
| ** At least 3  |            |                     | Total Additional Fee |            | \$ 0.00        |
| * At least 20  |            |                     |                      |            |                |
| <input type="checkbox"/> Applicant(s) assert entitlement to Small Entity Status, thus reducing the fee by half to: |            |                     |                      |            | \$ 0.00        |

- ☐ A check in the amount of \$\_\_\_\_\_ is attached.
- ☐ Charge \$\_\_\_\_\_ to Deposit Account No. 06-1135.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required in this application under 37 C.F.R. §§1.16-1.17 during its entire pendency, or credit any overpayment, to Deposit Account No. 06-1135. Should no proper payment be enclosed herewith, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1135. A duplicate copy of this sheet is enclosed.

October 23, 2002  
Date



James P. Krueger  
Registration No. 35,234

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